The Rise of the East, 200–1500 History E-10b/W Spring 2010

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Course Website:

<http://hudce7.harvard.edu/~ostrowski/riseofeast>

Course Goals: To investigate ideas about world history from AD 200 to 1500, while reading critically, thinking logically, and questioning intelligently. To provide a method with which one might continue to study the artifacts of the human past and to encourage that study. As the philosopher of science Sir Karl Popper has written: "all teaching on the University level (and if possible below) should be training and encouragement in critical thinking." In this course every fact, assertion, and interpretation about history is open to reconsideration. Merely accepting authority, invoking political considerations, or agreeing with the instructor is neither necessary nor sufficient for determining one's own views. Such uncritical accepting, invoking, and agreeing are corrupting influences that tend to hinder the development of independent thinking. Ideas and arguments in this class will be accepted or rejected on the basis of three criteria: (1) logical coherence (i.e., no internal contradictions); (2) correspondence to external source testimony (i.e., no suppressed evidence); and (3) conceptual elegance (no unnecessary abstractions). For a fuller explanation, see the course handout "Three Criteria of Historical Study."

Undergraduate Student Grading and Deadlines:

	draft	for grade	TPQN
10% First Writing Assignment (see p. 8)	Feb 10	Feb 24	Mar 24
10% Mid-Term Quiz	Mar 24		
20% Second Writing Assignment (see pp. 9–10)	Mar 10	Mar 31	Apr 21
30% Third Writing Assignment (see pp.11–12)	Apr 14	Apr 28	May 12
30% Final Examination	Ma	y 12	

In the writing assignments you have a chance to demonstrate your conceptual thinking ability. The questions for the writing assignments are on this syllabus. Prepare and write the essays outside of class and hand them in on the designated due dates. The first date is when you should hand in the draft (for comments, no grade) of each assignment. The second date is when you should hand in the revised version (for grade). The third date is the *terminus post quem non*, the date after which we will not accept any work on that assignment. You must hand in two versions of each writing assignment (a "draft" and a "revised" version) to receive a grade on that assignment.

Graduate Student Grading and Deadlines

	draft	revised	TPQN
10% First Writing Assignment (see p. 8)	Feb 10	Feb 24	Mar 24
10% Mid-Term Quiz	Mar 24		
5% Proposal for Research Paper (see p. 13)	Mar 10	Mar 31	
45% Research Paper (see p. 13)	Apr 14	Apr 28	May 12
30% Final Examination	May 12		

In the research paper you have a chance to demonstrate your conceptual thinking ability and develop your research skills. It will be up to you to develop your own research topic with the approval of the course assistant and instructor (see recommended topics on page 13). First, consult with your teaching assistant and/or the instructor about focusing on a topic. Then follow the guidelines in A Guide to the ALM Thesis, 6th ed., pp. 12-41. Prepare and write draft and revisions of the proposal (3 pages or 750 words) and research paper outside of class and hand them in on the designated due dates (see page 10 of this syllabus for further details). A grade representing 5% of your final grade is given for the proposal, and a proposal must be approved before we will accept the research paper itself. The first date is when you should hand in the draft (for comments, no grade) of each assignment. The second date is when you should hand in the revised version. The third date is the terminus post quem non, the date after which we will not accept any work on that assignment. The research paper should be between 6500 words (approximately 25 pages) long, and you should use 12-point font. You must hand in two versions ("draft" and "revised") of a proposal and two versions ("draft" and "revised") of the research paper to receive a grade on that research paper.

Definitions of "Draft" and "Revised" Versions:

The "draft" of a paper is defined as the first version handed in. The "revised" version of a paper is defined as the next version handed in that addresses the TA's or instructor's comments made on the "draft". Only the "revised" version will receive a grade.

Note on Use and Citation of Sources:

The responsibility for learning the rules governing the proper use of sources lies with the individual student. In registering for a course, students agree to abide by the policies printed in the Extension School catalogue, which contains brief descriptions of plagiarism, cheating, and computer network abuse. Ignoring these policies may have unpleasant consequences. You will find an excellent introduction to proper citation in Gordon Harvey's Writing with Sources: A Guide for Harvard Students (Indianapolis: Hackett, 1998), which is available at the Harvard Coop and online at <http://www.fas.harvard.edu/~expos/sources>.

Examinations:

The questions on the mid-term quiz and final exam will test your knowledge and thinking ability. The mid-term quiz will take up the first half-hour of the class period on March 24. The rest of that class period will be a lecture. The final exam will be a take-home exam. It will be handed out in class on May 12. You will have 48 hours to complete the exam.

Required Reading:

Textbooks for Both Undergraduates and Graduate Students

- * Peter N. Stearns, Michael Adas, Stuart B. Schwartz, and Marc Jason Gilbert, *World Civilizations: The Global Experience*, vol. 1: *To 1700*, 5th ed. (New York: Longman, 2007). <u>World history textbook for the course</u>
- * Alfred J. Andrea and James H. Overfield, *The Human Record: Sources of Global History*, vol. 1: *To 1700*, 5th ed., Boston: Houghton Mifflin, 2005. (Andrea)
- * Marvin Perry, Joseph R. Peden, and Theodore H. Von Laue, eds., *Sources of the Western Tradition*, vol. 1: *From Ancient Times to the Enlightenment*, 6th ed., Boston, Houghton Mifflin, 2006. (Perry)
- # Primary Sources Supplement, vol. 1: To 1750, ed. Donald Ostrowski, Minneapolis/St. Paul: West Publishing, 1995 (PSS) http://hudce7.harvard.edu/~ostrowski/riseofeast/upshur1.pdf>.

In addition, graduates students will be using the following text:

* *Discovering the Global Past: A Look at the Evidence*, vol. 1: *To 1650*, 3rd ed., edited by Merry E. Wiesner, William Bruce W. Wheeler, Franklin M. Doeringer, and Kenneth R. Curtis, Boston: Houghton Mifflin, 2007.

<u>Major Works for Both Undergraduates and Graduate Students</u> (You will be choosing 4 of these): *#*The Pillow Book of Sei Shonagon* (written ca. 1000), trans. and ed. Ivan Morris. New York:

- Columbia University Press, 1991. (ISBN 0231073372) <http://tinyurl.com/35ueee>.
- *# Augustine, *Confessions* (written in the 4th century), trans. R. S. Pine-Coffin. New York: Penguin, 1961. (ISBN 014044114X) (*Confessions*) <http://tinyurl.com/37dm9q>.
- *# Ross E. Dunn, *The Adventures of Ibn Battuta: A Muslim Traveler of the 14th Century*, Berkeley: Univ. of California Press, 1986 (ISBN 0520067436) (*Adventures*) http://tinyurl.com/2ubphr.
- *# Donald Ostrowski, *Muscovy and the Mongols: Cross-Cultural Influences on the Steppe Frontier*, Cambridge University Press, 1998. Corrections to the text can be found at http://hudce7.harvar.edu/~ostrowski/mm/errata2.pdf>.
- *# Regine Pernoud, *Those Terrible Middle Ages: Debunking the Myths*, trans. Anne Englund Nash, Ignatuius Press, 2000.
- *# Marco Polo, *The Travels* (written in the 13th century), trans. Ronald Latham. New York: Penguin, 1958. (ISBN 0140440577) (*Travels*) http://tinyurl.com/3cekm9.
- # Abelard, *Story of My Misfortunes* (written in the 12th century), trans. Henry Adams Bellows. http://www.fordham.edu/halsall/basis/abelard-histcal.html
- *# Christine de Pizan, *Book of the City of Ladies* (written in 1405), rev. ed., trans. Jeffrey Richards, New York: Persea Books, 1998. (ISBN 0892552301) (*City of Ladies*) http://tinyurl.com/3x5am8>.

An asterisk (*) before a title means that this version should be available at the Coop. One may also be able to find used copies of these books available for purchase via the Internet. # available on-line.

Lectures

Readings

	A	Introduction	
Jan 27		1. The Virtual Past, the Historical Past, and the Goals of This Course	<i>Website</i> : "Three Criteria of Historical Study"
		2. An Overview of the World, 200–1500	
	B. 2	The Decline of the Roman Empire and the Rise of Islam	
Feb 3		 The End of the Ancient World, or the Beginning of the Middle Ages? Video: Kenneth Clarke, <i>Civilisation</i>, no. 1: "The Skin of Our Teeth" Sources 	Stearns 212–227 <i>Confessions</i> (1st half) <i>Record</i> 208–219, 228–231 148–158, 164–178, 180–188
Feb 10	I	 2. Charlemagne and the Origins of Christendom Video: James Burke, <i>The Day the Universe Changed</i>, no. 2: "Medieval Conflict: Faith and Reason" (pt. 1) DRAFT OF FIRST WRITING ASSIGNMENT DUE 	· · · · · · · · · · · · · · · · · · ·
Feb 17	,	3. Muhammad and the Origins of Islam Video: <i>Islam: Empire of Faith,</i> narr. Ben Kingsley	Stearns 230–253 <i>Adventures</i> 1–12, 65–80, 310–320 <i>Website</i> : Muhammed <i>Record</i> 232–246 <i>Sources</i> 195–198
Feb 24		 4. The <i>Dar al-Islam</i>: From Andalusia to Indonesia Videos: Jacob Bronowski, <i>The Ascent of Man</i> "The Music of the Spheres" James Burke, <i>The Day the Universe Changed</i>, <i>V</i> no. 2, "Medieval Conflict: Faith and Reason" 	Stearns 253–281 <i>Adventures</i> 81–158 Marco Polo 33–73 <i>Record</i> 246–272, 314–339 <i>Vebsite</i> : 'Umar ibn al-Kattab (pt. 2) <i>Sources</i> 198–201, 244–246 <i>PSS</i> 1: 36–39

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ations 200–1500Stearns 282–303Ison, Africa, no. 2:Adventures 13–64, 290–309I"Record 380–396
Curopean Mind:Stearns 327–343cohant# Story of My Misfortunesce, The Day the Universe Changed, Conflict: Faith and Reason" (pt. 3)Website: Eleanor Sources 209–215, 223–226, 232–241, 246–248GRADUATE RESEARCH PAPER DUE246–248
Conflict: Faith and Reason" (pt. 3)SourceSIGNMENT DUE (FOR UNDERGRADS)223-2

Mar 17 Spring Break—no class

C. Frontiers, Centers, and Peripheries

 Mar 24
 Mid-Term Exam (5:30–6:00) (20 multiple-choice questions)

 (6:00–7:30)
 3. The Byzantine Empire and the Early Rus' Principalities
 Stearns 304–319

 TERMINUS POST QUEM NON FOR 1st WRITING ASSIGNMENT
 Adventures 159–173

 Record 340–352, 359–379
 Sources 191–195

 Website: "Benefit"
 "Benefit"

catchup on your reading

 Mar 31
 4. The Cultures of Pre-Columbian Classic
 Stearns 168–181, 346–347

 America
 Record 397-413

 Video: National Geographic, "Lost Kingdom of the Maya"
 Website:"Collapse"

 REVISED VERSION OF 2ND WRITING ASSIGNMENT DUE (FOR UNDERGRADS)

 REVISED VERSION OF PROPOSAL FOR GRADUATE RESEARCH PAPER DUE

D. The Rise of the East

Apr 7	1. Tang and Song Dynasties:	Stearns 366–387
	The Genius That Was China,	Marco Polo 74–210
	Video: The Genius That Was China, no. 1:	Adventures 241–289
	"The Rise of the Dragon"	<i>Record</i> 220–224,
	-	290–314, 420–423

Apr 14	2. The Heian Age of Japan:	Stearns 388–411
	The Ultra-Refinement of Court Life	Pillow Book of Sei Shonagon
	Video: Past and Present: "Buddha in the Land	Website: Murasaki Shikibu
	of Kami"	Record 276–280, 285–290
	DRAFT OF 3^{rd} writing assignment due (for undergr	ADS) PSS 1: 40–44
	DRAFT OF GRADUATE RESEARCH PAPER DUE	Website: "Primary Shaper"

Apr 213. Pax Mongolica and the Yuan DynastyStearns 412–433Video: The Mongol Hordes: Storm from the EastMarco Polo 210–345TERMINUS POST QUEM NON FOR 2ND WRITING ASSIGNMENTAdventures 173–240Record 428–461

 Apr 28
 4. The Later Rus' Principalities and the Rise of Muscovy
 Ostrowski, M&M

 REVISED VERSION OF 3RD WRITING ASSIGNMENT DUE (FOR UNDERGRADS)

 REVISED VERSION OF GRADUATE RESEARCH PAPER DUE

Website: Chinggis Khan

E. The Re-Emergence of the West

May 5	1. Saints and Heretics: The Profit Motive	Stearns 434–440
	Comes into Conflict with the Gift Economy	Pernoud, Middle Ages
	Video: Kenneth Clark, Civilisation, no. 3:	Website: Francis of Assisi
	"Romance and Reality"	Sources 212–215, 219–222,
	James Burke, The Day the Universe Changed,	226–232, 241–243,
	no. 4: "Printing Transforms Knowledge"	248–250, 253–258,
		261–273

May 12	2. Origins of the Renaissance in Western Europe:	Stearns 440–449
	Artistic Appreciation and Demographic	City of Ladies
	Depreciation	Website: Joan of Arc
	Video: Kenneth Clark, Civilisation, no. 4:	Website: Petrarch
	"Man—The Measure of All Things"	Sources 258–261, 276–287
	James Burke, The Day the Universe Changed, no. 3:	
	"Scientific Imagination in the Renaissance"	
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	Final Exam (take-home exam handed out in class)	
May 14	Take home final exam due	

May 19 Course grades due in Registrar's Office

Ground Rules

This syllabus is a statement of intent and not a legal contract. As such, I reserve the right to change or modify it, but changes or modifications will be done only with fair warning. At the Extension School, Harvard University standards apply across the board for courses, including amount of reading assigned and in the grading of papers and exams. This course is no exception and is meant to be challenging. We will do what we can to accommodate individual needs, but on no account will we allow standards to be compromised.

The course is "writing intensive" (i.e, writing emphasized), which means you will have a chance to practice your writing skills and receive comments on your essays more than in the usual history course. It does not mean that we guarantee to make you a better writer, nor will you be evaluated on your writing skills, except insofar as lack of such skills negatively affects the articulation of your ideas. Becoming a better writer, just like learning in general, is up to you. The world of learning is open to you and the process is never ending. One of the aims of this course is to provide you a means to continue studying history on your own after the course is over. We will do our best to assist you in the learning process, but in the end what you get out of the course is mainly up to you.

There are fourteen 2-hour classes in this course. Even if I wanted to I could not possibly cover all of world history during class time. What I can do is select certain topics and go into a little more depth than the readings provide. One of the aims of this course is to inspire you to investigate aspects of world history on your own. I will try to provide you some indication of what to look for and how to orient yourself when undertaking that further investigation. I firmly believe that every person benefits from learning to be their own historian. As a result, the human community benefits as well. For that to happen, however, you must not uncritically and unquestioningly adopt someone else's interpretations, but instead you must think things through for yourself and come to your own conclusions. That is why I place so much emphasis on method, as opposed to so-called "facts." Facts as such are not given but are frequently the result of some historian's (biased) interpretation and (faulty) argument. It is up to you to spot the biases and fallacies and to ascertain the evidence for yourself. Since the time is limited, I cannot engage in extended class discussions while giving the lecture. I do encourage you to ask questions in terms of points of clarification and contributing to general understanding. If you have a point or points of dispute with something in the lecture (that is, you understand what I am saying but you do not agree with it), I am more than willing to discuss the issues with you outside class. But class time is short and we should all try to use it efficiently. Besides, you can always bring these questions up in discussion section.

The first two deadlines for each writing assignments are "guideline" deadlines. You will incur *no* penalty if the assignments are handed in after those dates. It does mean, however, that you will have less time to revise the assignments before the *terminus post quem non* (end-after-which-nothing) date for that assignment. The first version of each assignment that you hand in will be treated as your draft, i.e., *not* for grade, no matter when you hand it in. Even if you hand in that first version of the date when the assignment for grade is due, it will still be treated as a draft. When you hand in the second version of each assignment, please hand in a copy of your draft that you received back from the course assistant. No second or subsequent version of a paper will be graded without the previous draft accompanying it. This will help the course assistants evaluate your progress better. After you receive a grade on the second version of your essay, you may revise that essay and hand it in until, but no later than, the date listed as the *terminus post quem non* for that assignment. The last deadline is an absolute deadline (no exceptions), which means *no* more work will be accepted on that writing assignment after that date. If you become aware that you are unable to complete the third written assignment (if you are an undergraduate student) or the research paper (if you are a graduate student) by May 12, then you should obtain and fill out an EXT form from the Registrar's Office.

Please give the course assistants *one week* to grade your assignment. We would prefer that you not ask special favors in terms of getting your paper back in less time than other people. You may, however, hand in your assignments earlier than the deadlines. The course assistants will mark the drafts with a \checkmark -, \checkmark , or \checkmark +. The \checkmark - means a total rewrite is necessary and you should talk with the course assistant about it. A \checkmark means you are headed in the right direction but substantial changes are required. A \checkmark + means your essay is almost there in terms of getting a good grade. It does not, however, guarantee an A on the next version. If you choose not to hand in a revised version after you have done the draft, I will count the assignment as incomplete and will enter the following equivalent grades for computation of your final course grade: \checkmark - = E; \checkmark = D; \checkmark + = C. Please consider this as an incentive to complete the assignments.

First Writing Assignment (for both Graduate and Undergraduate Students) (2¹/₂ pages) (625 words) (10% of course grade)

Three sets of readings will be posted on the course website: one on Charlemagne, one on Muhammad and one on Eleanor of Aquitaine. Each of these sets includes a primary source and two historians' interpretations on the topic. Pick one of the sets, either on Charlemagne or Muhammad or Eleanor, then follow these instructions.

You are to write two-and-one-half $(2\frac{1}{2})$ pages, which will contain a total of five (5) double-spaced paragraphs-two on the first page, two on the second, and one on the third. The first paragraph will be an introduction (which you may want to write last). This introductory paragraph provides some orientation to the reader for what follows. The next three paragraphs are to be brief summaries of each major section within the set you have chosen. Thus, for the Charlemagne set of readings, you will write one paragraph summarizing the selection from the primary source, the Life of Charlemagne by Einhard, one paragraph summarizing the selection from the historian Heinrich Fichtenau, and one paragraph summarizing the selection from the historian F. L. Ganshof. If you choose the Muhammad set of readings, write one paragraph summarizing the primary (actually a secondary) source, Sirat Rasul Allah by ibn Ishaq, one paragraph summarizing the selection from the historian Sayed Ameer Ali, and one paragraph summarizing the selection from the historian William Montgomery Watt. If you choose the Eleanor of Aquitane set of readings, you will write one paragraph summarizing he selection form the primary sources, the Chronicles of William of Tyre and John of Salisbury, one paragraph summarizing the selection from the historian Amy Kelly, and one paragraph summarizing the selection from the historian Marion Meade. The wording in your summaries should be as neutral as you can make it—no editorializing and no value judgments either explicit or implicit. These paragraphs are your presentation of the evidence. Present the evidence fairly.

In your fifth paragraph, briefly give your own opinion about the set of readings you have just summarized. In this paragraph, you are to evaluate the readings and present your own judgment of them. Ideally, whatever you mention in the fifth paragraph, you should have previously referred to in one of the previous three paragraphs. Make sure there is some correlation between your first (introductory) paragraph and your fifth (concluding) paragraph.

This exercise is meant to fulfill two functions: (1) to allow you to demonstrate that you know the difference between analysis and interpretation; and (2) to give you practice in succinct summarizing and characterization of what you have read.

Question Choices for Second Undergraduate Writing Assignment

(5 pages) (1250 words) (20% of course grade)

Write an essay (no more than 5 pages in length) on one (1) of the following questions. Your essay will be judged on its quality and graded on the basis of coherence, correspondence, and conceptual elegance (see course goals).

The first three questions involve comparing two works in some depth on a number of different aspects.

1. Compare the world views (*Weltanschauung*) of Augustine, as evident in his *Confessions*, and Abelard, as evident in *The Story of My Misfortunes*. There are strong similarities between these works because, among other things, both writers are male and Christian. But there are also significant differences. How much can these differences be attributed to the way Christianity developed in western Europe and how much is the result of their different personalities?

2. Compare Ross E. Dunn's *The Adventures of Ibn Battuta*, pp. 13–173, 290–309 with *Ibn Battuta in Black Africa*, by Noël King and Said Hamdun, on reserve in Grossman Library. How accurate is Dunn's presentation of Battuta's book? What are the advantages and disadvantages of reading a primary source like *Ibn Battuta in Black Africa* in contrast to reading a précis and commentary like Dunn's?

3. Compare Ross E. Dunn's *The Adventures of Ibn Battuta*, pp. 41–158 with Marco Polo, *The Travels*, pp. 33–73. What difference in attitudes toward western Asia do you see between the two works? While it could be argued that these are two different genres—Dunn's is a study, Polo's a primary source—in a way Dunn is to Ibn Battuta what Rustichello of Pisa is to Marco Polo, that is the re-teller of a story that was related to him by someone else. Does this make a difference? If so, how? If not, why not?

[Note: If you plan to answer Question #1 in the Third Assignment, do not answer this question]

The fourth question involves comparing a number of different texts on one specific theme.

4. You have just read Augustine's *Confessions*, Abelard's *Story of My Misfortunes*, *The Adventures of Ibn Battuta* (pp. 1–173, 290–309), Marco Polo (pp. 33–73). Focus on a specific theme that pervades these texts, e.g., attitude toward the divine, respected values, attitudes toward women, ethics, duty, morals, travel, etc. Look especially for patterns, deep structural similarities, and sharp contrasting differences that characterize these works. What do you think these works indicate about the cultures that produced them?

Your analysis should involve the use of a logical argument (see below). You can then interpret your analysis of the evidence by stating what you think it means. Place your analysis in a historical context by relating your findings, whenever you can, to the lectures, videos, discussions, and other readings in the course. Thus, the framework of your essay will look something like this:

- 1. Introduction (describe the focus of your essay)
- 2. Point-by-point presentation of aspects of the two major works (summary of important points)
- 3. Your analysis of the evidence (logical argument)
- 4. Your interpretation (historical context)
- 5. Conclusion (recapitulation of theme)

You may modify this framework if you have a good reason to do so, but, for the most part, the general outline of your essay should follow it. This exercise is meant to focus on the development of a logical argument based on the evidence.

Formulating a Logical Argument

A logical argument is a chain of reasoning, such that if the premises are accepted, then the conclusion must be accepted. An example of a chain of reasoning formulated in the early fifth century A.D. follows. It is from Augustine's *Confessions* and is an argument against astrology:

I turned my attention to the case of twins, who are generally born within a short time of each other. Whatever significance in the natural order the astrologers may attribute to this interval of time, it is too short to be appreciated by human observation and no allowance can be made for it in the charts what an astrologer has to consult in order to cast a true horoscope. His predictions, then, will not be true, because he would have consulted the same charts for both Esau and Jacob and would have made the same predictions for each of them, whereas it is a fact that the same things did not happen to them both. Therefore, either he would have been wrong in his predictions or, if his forecast was correct, then he would not have predicted the same future for each. And yet he would have consulted the same chart in each case. This proves that, if he had foretold the truth, then it would have been by luck, not by skill.

The outward signs of a logical argument can include "if..., then..." phrases, and words like "therefore" and "thus." Sometimes these words and phrases are only implicit. Other times, these words and phases are used even when there is no logical argument. In the passage above, Augustine uses two "if..., then..." constructions and one "[t]herefore." The point is, unless the argument is a fallacious one and, therefore, not logical, the only way to avoid acceptance of the conclusion is to attack the premises or dispute the evidence used.

Question Choices for Third Undergraduate Writing Assignment

(8 pages) (2000 words) (30% of course grade)

Write an essay (no more than 10 pages in length) on one (1) of the following questions. Your essay will be judged on its quality and graded on the basis of coherence, correspondence, and conceptual elegance (see course goals).

1. Compare Marco Polo's *Travels*, pp. 74–345 with *The Adventures of Ibn Battuta*, pp. 173–289. What difference in attitudes toward central and eastern Asia do you see between the two works? While it could be argued that these are two different genres—Dunn's is a study, Polo's a primary source—in a way Dunn is to Ibn Battuta what Rustichello of Pisa is to Marco Polo, that is the re-teller of a story that was related to him by someone else. Does this make a difference? [Note: If you answered Question #3 in the Second Assignment, do not answer this question]

2. Compare Sei Shonagon's *Pillow Book* with Christine de Pizan's *Book of the City of Ladies*. While there are similarities between the two works because both were written by women with relatively high social status, there are also significant differences. How much of these differences can be attributed to cultural differences between eleventh-century Japan and fifteenth-century western Europe? How much can be attributed to the different personalities of the respective authors?

The third and fourth questions involve comparing a number of different texts on one specific theme.

3. Analyze a common theme that you found in *The Travels* of Marco Polo, *The Adventures of Ibn Battuta*, Sarashina's *Bridge of Dreams*, and De Pizan's *City of Ladies*. Apply the same question to these texts as you did to the readings for the First Essay. That is: Focus on a specific theme that pervades these works, e.g., attitude toward the divine, respected values, attitudes toward women, ethics, duty, morals, travel, etc. Look especially for patterns, deep structural similarities, and sharp contrasting differences that characterize these works. Tell what they indicate about the cultures that produced them. You may use the same theme that you used for your first essay, or you may choose an entirely different theme.

4. The historian Lynn White, Jr., has written: "Indeed, when even the *Enciclopedia italiana* (s.v. *pasta*) confesses that chowmein may well be the ancestral form of spaghetti, the whole of the Middle Ages seems to shrivel into a mere appendix to China!" (Lynn White, Jr., "Technology and Invention in the Middle Ages," *Speculum*, vol. 15, 1940, p. 146). Evaluate this statement and the ideas behind it. Based on the Regine Pernoud's *Those Terrible Middle Ages* and Ostrowski's *Muscovy and the Mongols*, as well as other course readings, lectures, and videos in this course, as well as outside research, what do you think? Is the transfer of technology transfer from west to east? Describe, in your opinion, the specific mechanism through which the source culture influenced the target culture. Is there a difference in the way certain technologies are treated? If so, describe as specifically as you can those differences. Be sure to draw your evidence from as many of the course readings as you can.

In your comparison of the above works, you will want to make a point-by-point analysis rather than

presenting the evidence of one, then the evidence of the other. This means you will have to think about which points you consider most important for comparison purposes. The next step is to interpret what you have just analyzed by saying what you think it means. Then, as with the Second Written Assignment, place your analysis and interpretation in a historical context by relating your findings, whenever you can, to the lectures, videos, discussions, and other readings in the course. Thus, the framework of your essay will look something like this:

- 1. Introduction (describe the focus of your essay)
- 2. Point-by-point presentation of aspects of the two major works (summary of important points)
- 3. Your analysis of the evidence (logical argument)
- 4. Your interpretation (historical context)
- 5. Conclusion (recapitulation of theme)

You may modify this framework if you have a good reason to do so, but, for the most part, the general outline of your essay should follow it. This exercise is meant to focus on the construction of an interpretation based on a logical analysis of the evidence.

Constructing an Interpretation

Your assignment is to construct an interpretation to explain a set of primary source evidence. You might, for example, begin your essay by analyzing the points of view of the authors of the chosen books. You could then present your own point of view on an aspect or aspects of world history. An interpretation is an explanation of something. While it can involve use of, and be based on, logical argument, it goes beyond the argument itself to try to elucidate why something is the way it is. An interpretation in historical study can take the form of a narrative or analysis, but it should, in any case, fulfill the requirements of a hypothesis that can be tested against the evidence, with more research.

An example of an analytical interpretation follows. It is taken from Garrett Mattingly, *The Armada* (p. 397), in which Mattingly explains what is and what is not significant about the defeat of the Spanish Armada in 1588 at the hands of the English:

Historians agree that the defeat of the Spanish Armada was a decisive battle, in fact one of the Decisive Battles of the World, but there is much less agreement as to what it decided. It certainly did not decide the issue of the war between England and Spain. Though no fleet opposed Drake, ... the war dragged itself out for nearly fourteen years more ... and ended in no better than a draw. Some historians say that the defeat of the Armada "marked the decline of the Spanish colonial empire and the rise of the British." It is hard to see why they think so. By 1603, Spain had not lost to the English a single overseas outpost, while the English colonization of Virginia had been postponed for the duration. Nor did the Armada campaign "transfer the command of the sea from Spain to England." English sea power in the Atlantic had usually been superior to the combined strengths of Castile and Portugal, and so it continued to be, but after 1588 the margin of superiority diminished. The defeat of the Armada was not so much the end as the beginning of the Spanish navy.

Mattingly characterizes his interpretation in the last line and presents this explanation as a way of understanding the evidence and the logical surmises we make from that evidence. The statement that the Armada represented the beginning of the Spanish navy is also a hypothesis that can be tested against the evidence.

Graduate Research Proposal

(3 pages) (750 words) (5% of final grade)

In your proposal, which should be 3 pages long, you need to indicate a tentative title for your research paper. Then devote a paragraph to each of the following points:

- 1. General introduction to the topic
- 2. Description of research question(s)
- 3. Description of tentative answer (hypothesis)
- 4. Types of sources you plan to use to test your hypothesis
- 5. Broader implications of your research

Include a working bibliography with works cited, works consulted (with a one-line annotation), and works to be consulted.

For format style, use either the *Chicago Manual of Style* or the *MLA Handbook for Writers* of *Research Papers*. You may also find *A Guide to the ALM Thesis*, 6th ed. (available on-line at http://www.extension.harvard.edu/2004-05/libarts/alm/reqs/thesis.jsp#resources) useful.

Graduate Research Paper

(25 pages) (6500 words)(45% of final grade)

In your research paper, follow the standard format for an expository essay. State your hypothesis upfront. Provide a roadmap for the reader to show how you will present the results of your research. Then close with a conclusion that recapitulates your hypothesis and any modifications that you have made in it along the way. You may choose to research further an aspect of one of the following controversies that will be discussed in the lectures:

- The fall of the Roman Empire: many theories, little evidence
- The disappearance of Mayan civilization
- Buddhist scholarship: is Enlightenment gradual or does it occur suddenly?
- Feudalism: did it exist?
- The impact of the Mongol world empire
- Dynastic power transfer in England: the last of the Plantagenets and the first of the Tudors

Or you may choose one of the topics from *Discovering the Global Past* to develop further:

- "International Religious Communities"
- "Vikings and Polynesians: Exploring New Worlds"
- "Two Faces of 'Holy War': Christian and Muslim 'Jihads""
- "Romances and Behavior in Aristocratic Japan and Italy"
- "Facing the Black Death"
- "Trans-Asian Contacts: The Basis of a World System"
- "Regional Metropolises: Constantinople and Tenochtitlan"
- "Medicine and Reproduction in the West and the East"
- "Sacred Journeys: Pilgrimages in Buddhism, Christianity, and Islam"

Another possible topic concerns Big History and the various attempts to encompass it:

- Chaisson, Eric J. Cosmic Evolution: The Rise of Complexity in Nature. Cambridge, MA: Harvard University, 2001.
- Christian, David. *Maps of Time: An Introduction to Big History*. Berkeley: University of California Press, 2004.
- McNeill, J. R. and William H. McNeill. *The Human Web: A Bird's-Eye View of World History*. New York: W. W. Norton, 2003.
- Spier, Fred. *The Structure of Big History: From the Big Bang until Today*. Amsterdam: Amsterdam University Press, 1996.
- Spier Fred. "How Big History Works: Energy Flows and the Rise and Demise of Complexity," Social Evolution and History 4, no. 1 (2005): 87–135.

For a description of what Big History is and for further bibliography, check the University of Amsterdam's web site: http://www.iis.uva.nl/english/object.cfm?objectID=21E38086-9EAF-4BB2-A3327D5C1011F7CC>.

Or you may pick a topic or topics of your own with the approval of your course assistant or the instructor.

Movie Schedule Spring 2010 **The Rise of the East** (All films showings begin at 7:50 p.m. in Science Center, Hall E)

Date	Film	Lecture
January 27	Constantine and the Cross (1962) [2 hrs]	Overview
February 3	The Vikings (1958) [1 hr. 54 min.]	End of Ancient World
February 10	Charlemagne (1993) [2 hr. excerpt]	Christendom
February 17	The Message (1976)(pt. 1) [1 hr. 50 min.]	Origins of Islam
February 24	The Message (1976)(pt. 2) [1 hr. 50 min.]	Dar al-Islam
March 3	<i>Ceddo</i> (1977) [2 hr.]	Africa
March 10	Seventh Seal (1957) [1 hr. 36 min.]	Medieval World
March 24	Alexander Nevsky (1938) [1 hr. 47 min.]	Byzantine Commonwealth
March 31	Apocalypto (2006) [2 hr. 19 min.]	Pre-Columbian America
April 7	Temptation of a Monk (1993) [1 hr. 58 min.]	Tang and Song China
April 14	Gate of Hell (1953) [1 hr. 39 min.]	Japan
April 21	The Mongol (2007) [2 hr. 6 min.]	Mongols and Yuan China
April 28	Andrei Rublev (1967) (3 hr. 5 min.]	Later Rus' Principalities
May 5	The Name of the Rose (1986) [2 hr. 10 min.]	Saints and Heretics
May 12	The Prince of Foxes (1949) [1 hr. 45 min]	Renaissance

*All showings begin at 7:50 p.m. in Science Center Hall E. I selected films on the basis of three criteria: relevance for that week's lecture; quality of treatment of the historical topic; and length of running time (most are around 2 hours long). Viewing the films is entirely optional, and you need not attend the showing after class. Almost all of these are available on video tapes or DVDs that can be rented or bought.